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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/018,392 08/21/2002 Kotoku Kurachi 7886 UM-06855 **EXAMINER** 7590 04/04/2006 Medlen & Carroll NGUYEN, QUANG Suite 350 ART UNIT PAPER NUMBER 101 Howard Street San Francisco, CA 94105 1633

DATE MAILED: 04/04/2006

Resp. 5/4/06 200

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APPLICATION NO. 10/018,392 FILING DATE 8/21/2002 FIRST NAMED INVENTOR Kurachi et al. ATTORNEY DOCKET NO. UM-06855



EXAMINER			
Quang Nguyen			
ART UNIT	PAPER		

1633

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Notice To Comply

With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Specifically the application fails to comply with CFR 1.821(d), which states:

(d) Where the description or claims of a patent application discuss a sequence that is set forth in the "Sequence Listing" in accordance with paragraph (c) of this section, reference must be made to the sequence by use of the sequence identifier, preceded by "SEQ ID NO:" in the text of the description or claims, even if the sequence is also embedded in the text of the description or claims of the patent application (see MPEP 2422.03).

For compliance with sequence rules, it is necessary to include the sequence in the "Sequence Listing" and identify them with SEQ ID NO. In general, any sequence that is disclosed and/or claimed as a sequence, i.e., as a string of particular bases or amino acids, and that otherwise meets the criteria of 37 CFR 1.821(a), must be set forth in the "Sequence Listing." (see MPEP 2422.03).

The instant specification fails to comply with the requirements for patent applications containing nucleotide sequence and/or amino acid sequence disclosures because the computer readable diskette mailed on 3/7/2003 has only 84 sequences and it does not contain all the sequences listed in the Sequence Paper Listing dated 12/6/2001.

For the response to this office action to be complete, Applicants are required to comply with the Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence. Applicant must comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825) before the application can be examined under 35 U.S.C. §§ 131 and 132.

APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 C.F.R.. §§ 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 C.F.R. § 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 C.F.R. § 1.136. In no case may an applicant extend the period for response beyond the SIX MONTHS

statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quang Nguyen, Ph.D., whose telephone number is (571) 272-0776. If attempts to reach the examiner by telephone are unsuccessful, the examiner's mentor, David Guzo, Ph.D., may be reached at (571) 272-0767, or SPE, Dave Nguyen at (571) 272-0731.

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QUANG NGUYEN, PHO PATENT EXAMINED

OFF WAS	Application No.	Applicant(s)	
2 4 2006 ENotice to Comply	10/018,392	Kurachi et al.	
MPR 1. Divince to Compty	Examiner	Art Unit	
3	Quang Nguyen	1633	
NOTICE TO COMPLY WITH REQUIREM			INING
NUCLEOTIDE SEQUENCE AND/OR AM	INO ACID SEQUENCE DIS	SCLOSURES	
Applicant must file the items indicated below within avoid abandonment under 35 U.S.C. § 133 (extens	n the time period set the Office a sions of time may be obtained ur	ction to which the Notice order the provisions of 37	e is attached to 'CFR 1.136(a)).
The nucleotide and/or amino acid sequence disclo for such a disclosure as set forth in 37 C.F.R. 1.82	sure contained in this application 1 - 1.825 for the following reasor	n does not comply with t n(s):	he requirements
1. This application clearly fails to comply with to directed to the final rulemaking notice published effective filing date is on or after July 1, 1998, and 1211 OG 82 (June 23, 1998).	d at 55 FR 18230 (May 1, 1990)	, and 1114 OG 29 (May	15, 1990). If the
2. This application does not contain, as a sepa required by 37 C.F.R. 1.821(c).	rate part of the disclosure on pa	per copy, a "Sequence I	_isting" as
3. A copy of the "Sequence Listing" in compute 37 C.F.R. 1.821(e).	er readable form has not been su	ubmitted as required by	
4. A copy of the "Sequence Listing" in compute computer readable form does not comply with attached copy of the marked -up "Raw Sequence Copy of the "Sequence Listing" in compute compu	the requirements of 37 C.F.R. 1	nitted. However, the cor .822 and/or 1.823, as inc	ntent of the dicated on the
5. The computer readable form that has been unreadable as indicated on the attached CRF submitted as required by 37 C.F.R. 1.825(d).	filed with this application has be Diskette Problem Report. A Sub	en found to be damaged ostitute computer readab	d and/or ble form must be
	not the same as the computer r	eadable from of the "Se	quence Listing"
7. Other:			
Applicant Must Provide: ☑ An initial or substitute computer readable form	(CRF) copy of the "Sequence L	isting".	
An initial or substitute paper copy of the "Sequippe specification."	ience Listing", as well as an ame	endment directing its ent	ry into the
A statement that the content of the paper and no new matter, as required by 37 C.F.R. 1.821(e)	d computer readable copies are or 1.821(f) or 1.821(g) or 1.825(the same and, where a b) or 1.825(d).	ipplicable, <u>include</u>
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